

12/14/01
1064 U.S. PTOPlease type a plus sign (+) inside this box →

12-18-01

PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages 23]
 - Descriptive title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fee Sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
- Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No _____ / _____
Prior application information: Examiner _____ Group / Art Unit: _____

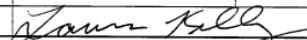
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

23370

Customer Number or Bar Code Label (insert Customer No or Attach bar code label here) or Correspondence address below

Name	John S. Pratt, Reg. No. 29,476 Kilpatrick Stockton LLP			
Address	1100 Peachtree Street Suite 2800			
City	Atlanta	State	Georgia	Zip Code
Country	USA	Telephone	(404) 815-6367	Fax (404) 815-6555

Name (Print/Type)	Laura M. Kelley	Registration No. (Attorney/Agent)	48,441
Signature			Date December 14, 2001

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 906

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to.

Deposit Account Number
16-1436

Deposit Account Name
Kiparick Stockton LLP

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status.
See 37 CFR 1.27

Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740
106 330	206 165	Design filing fee	
107 610	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1)

(\$ 740)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
27	-20 **	7	18
Independent Claims			126
2	-3 **	0	0
Multiple Dependent		X	0

SUBTOTAL (2)

(\$ 126)

*or number previously paid, if greater; For Reissues, see above

Complete if Known	
Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	William R. Matz
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	36968/265388 (SB01372)

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
	105 130	205 65	Surcharge - late filing fee or oath or cover sheet.	
	127 50	227 25	Surcharge - late provisional filing fee	
	136 130	139 130	Non-English specification	
	147 2,520	147 2,520	For filing a request for reexamination	
	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
	115 110	215 55	Extension for reply within first month	
	115 400	216 200	Extension for reply within second month	
	117 920	217 460	Extension for reply within third month	
	118 1,440	218 720	Extension for reply within fourth month	
	128 1,960	228 980	Extension for reply within fifth month	
	139 320	219 160	Notice of Appeal	
	120 320	220 160	Filing a brief in support of an appeal	
	121 280	221 140	Request for oral hearing	
	138 1,510	138 1,510	Petition to institute a public use proceeding	
	140 110	240 55	Petition to revive - unavoidable	
	141 1,280	241 640	Petition to revive - unintentional	
	142 1,280	242 640	Utility issue fee (or reissue)	
	143 460	243 230	Design issue fee	
	144 620	244 310	Plant issue fee	
	122 130	122 130	Petitions to the Commissioner	
	123 50	123 50	Processing fee under 37 CFR 1.17 (c)	
	126 180	128 180	Submission of Information Disclosure Stmt	
	581 40	581 40	Recording each patent assignment per property (times number of properties)	40
	146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
	179 740	279 370	Request for Continued Examination (RCE)	
	169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Laura M. Kelley	Registration No. Attorney/Agent)	48,441	Telephone (919) 420-1712
Signature			Date	December 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

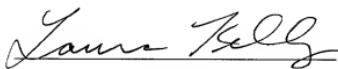
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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Named Inventor	William R. Matz
		Title	Method and System for Targeted Incentives
		Atty Docket Number	36968/265388 (BS01372)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 14, 2001

Date



Signature

Laura M. Kelley
Reg. No.: 48,441

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(e). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10

Express Mail mailing label number: EL923250394US

Applicant: William R. Matz and Scott R. Swix

Title: Method and System for Targeted Incentives

Date of Deposit: December 14, 2001

Type of Document(s) Certificate of Express Mailing (1 page);
Utility Patent Application Transmittal (1 page);
Fee Transmittal Form (1 page);
Specification (23 pages including 27 claims and Abstract);
Drawings (7 sheets);
Declaration and Power of Attorney (3 pages);
Assignment of Invention and Cover Sheet (3 pages);
Non-Publication Request and Certification Under
35 U.S.C. 122(b) (1 page);
Check # 22235 in the amount of \$906;
Return postcard.

Serial No.: Unassigned

Filing Date: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service to an alternative address established by the USPTO, resulting from an interruption of USPS postal service within 35 USC 21(a) and 37 CFR 1.6(e), and under 37 CFR 1.10 on December 14, 2001, and is addressed to the established alternative address of Assistant Commissioner for Patents, Box Patent Application, Post Office Box 2327, Arlington, Virginia 22202.

Angela L. Grant
Signature

Angela L. Grant
Printed Name